



#4

MAIL STOP PATENT  
Attorney Docket No. 24788

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SUGAHARA et al.

Serial No.: 09/961,298

Filed: September 25, 2001

Group Art Unit: 2612

RECEIVED

APR 29 2004

Technology Center 2600

For: **MPEG PICTURE DATA RECORDING APPARATUS, MPEG PICTURE DATA  
RECORDING METHOD, MPEG PICTURE DATA RECORDING MEDIUM, MPEG  
PICTURE DATA GENERATING APPARATUS, MPEG PICTURE DATA  
REPRODUCING APPARATUS, AND MPEG PICTURE DATA REPRODUCING  
METHOD**

TRANSMITTAL LETTER

The Commissioner for Patents  
Alexandria, Virginia 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark  
Office is the following:

- (1) Transmittal Letter
- (2) Supplemental Information Disclosure Statement
- (3) Form PTO-1449 with five (5) references
- (4) Copy of European Search Report

Respectfully submitted,

**NATH & ASSOCIATES PLLC**

By: 

Gary M. Nath  
Registration No. 26,965  
Marvin C. Berkowitz  
Registration No. 47,421  
Customer No. 20529

Date: April 28, 2004

**NATH & ASSOCIATES PLLC**  
1030 15<sup>TH</sup> Street, NW - 6<sup>th</sup> Floor  
Washington, D.C. 20005  
GMN/MCB/lis:IDS.trans

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#1/

5-18-04  
K

In re Application of:

SUGAHARA et al.

Serial No.: 09/961,298

Filed: September 25, 2001

Group Art Unit: 2612

For: MPEG PICTURE DATA RECORDING APPARATUS, MPEG PICTURE DATA  
RECORDING METHOD, MPEG PICTURE DATA RECORDING MEDIUM, MPEG  
PICTURE DATA GENERATING APPARATUS, MPEG PICTURE DATA  
REPRODUCING APPARATUS, AND MPEG PICTURE DATA REPRODUCING  
METHOD

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

RECEIVED

Commissioner of Patents  
Alexandria, Virginia 22313-1450

APR 29 2004

Technology Center 2600

Sir:

An Information Disclosure Statement is submitted herewith pursuant  
to 37 C.F.R. §1.97-1.98. Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

- [ x ] a. The enclosed statement is being filed within three months of  
the filing date of a national application, or within three  
months of the date of entry into the national stage as set  
forth in 37 C.F.R. §1.491 in an international application, or  
before the mailing date of a first Office Action on the  
merits, whichever event occurs last.
- [ ] b. The enclosed statement is being filed after a first action on  
the merits but before the mailing date of a final action under  
37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R.  
§1.311.

The enclosed statement is accompanied by [check one]:

- [ ] i. a certification in part (e) below as specified in 37  
C.F.R. §1.97(e), or
- [ ] ii. a check in the amount required by 37 C.F.R.  
§1.17(p).
- [ ] c. The enclosed statement is being filed after the mailing date  
of a final action under 37 C.F.R. §1.113, or a notice of  
allowance under 37 C.F.R. §1.311, but before payment of the  
issue fee.
- [ ] Certification report(e) below; and
- [ ] a check in the amount as required by §1.17(p).
- [ ] d. The enclosed statement is being filed pursuant to  
37 C.F.R. §1.97(i), for placement in the file.
- [ ] e. Certification [Check one] [Certification is required only if  
box (b)(i) or box (c) is checked.]

- ☐ I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,
- or
- ☐ I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- or
- ☐ Appropriate certification is attached.
- ☒ f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112.
- ☒ g. Copies of the documents are attached herewith with a completed Form PTO-1449.
- or
- ☐ Copies of the documents are not attached as allowed under CFR 1.98(d)(1)(2). The earlier application is identified as:

The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted,  
**NATH & ASSOCIATES PLLC**

By: \_\_\_\_\_

*Gary M. Nath*  
Gary M. Nath  
Registration No. 26,965  
Marvin C. Berkowitz  
Registration No. 47,421  
Customer No. 20529

Date: April 28, 2004  
**NATH & ASSOCIATES PLLC**  
1030 15<sup>th</sup> Street, N.W., 6<sup>th</sup> Floor  
Washington, D.C. 20005  
Tel. (202) 775-8383  
Fax. (202) 775-8396  
GMN/MCB/ls (IDS.revisedTLJ04.08.02)



FORM PTO-1449

INFORMATION DISCLOSURE CITATIONAtty Docket  
24788Serial No.  
09/961,298Applicant  
SUGAHARA, et al.Filing Date  
Sept. 25, 2001Group Art Unit  
2612

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Issue Date	Name	Class	Sub-Class	Filing Date
	AA	5,913,031	June 15, 1999	Blanchard			Nov. 30, 1995
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

RECEIVED

APR 29 2004

Technology Center 2600

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation
	AL	0 837 609	Apr. 22, 1998	EP			N/A
	AM	0 930 786	July 21, 1999	EP			N/A
	AN	0 944 249	Sep. 22, 1999	EP			N/A
	AO	0 987 895	Mar. 22, 2000	EP			N/A
	AP						

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	
--	----	--

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.  
Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to Applicant.